Diamonds are … also for Books. Developments in Open Access Book Publishing

Open Access Webinar organised by the Finnish Network of University Libraries on the 2nd of November 2022

Niels Stern, director

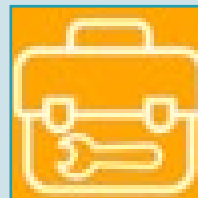*OAPEN Foundation*

OAPEN Foundation

- increase discoverability of OA books

- promote and build trust in OA book publishing

OAPEN Library – hosting, distributing, and preserving 25,000+ peer-reviewed OA books from 400+ publishers

Directory of Open Access Books – global indexing service of over 60,000 peer-reviewed OA books from more than 600 publishers

OAPEN OA Books Toolkit – public information resource for authors

"Countries in the study are similar in many ways, however there are huge differences in population size, book markets, OA policies, funding streams and publishers' approaches to OA."

Ferwerda, Eelco, Pinter, Frances, & Stern, Niels. (2017). A Landscape Study on Open Access and Monographs: Policies, Funding and Publishing in Eight European Countries. Zenodo. https://doi.org/10.5281/zenodo.815932 (p.8).

⟨KE⟩ Knowledge Exchange

A landscape study on open access and monographs

Policies, funding and publishing in eight European countries

Publication date October 2017

DFG · csc · Jisc · cnrs · SURF · Deff

Bibliodiversity

the diversity of book publishing in terms of the variety of national, regional and disciplinary contexts, including culture, language (multilingualism), quality assurance standards, transparency, publishing traditions, rewards and incentive structures at universities (including royalties), and the publishing industry and its market mechanisms in general.

"No single model will fit all and there is no scenario for a perfect transition. Indeed, we do not expect all monographs to go OA, but we see a number of ways in which OA for books can be encouraged further."

Ferwerda, Eelco, Pinter, Frances, & Stern, Niels. (2017). A Landscape Study on Open Access and Monographs: Policies, Funding and Publishing in Eight European Countries. Zenodo. https://doi.org/10.5281/zenodo.815932 (p.8).

KE〉 Knowledge Exchange

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DFG · CSC · Jisc · cnrs · SURF · Deff
Denmark's Electronic Research Library

BPC model

Martin Paul Eve:
"an initial issue of scalability […]"

OPERAS Open Access Business Models
White Paper (2018/2021) on the BPC model:

"Pros: Aids recognition of the costs involved in quality publishing.

Cons: Lack of funding available in AHSS, different levels of financial resource at different institutions makes for an uneven and unfair situation for academics, inefficient, high administrative burden for institutions and publishers, additional burden for academics."

https://eve.gd/2016/02/05/why-handling-book-processing-charges-from-a-purchasing-library-is-hard/ ; https://eve.gd/2015/11/17/some-numbers-on-book-processing-charge-scalability/
https://www.operas-eu.org/special-interest-group-living-book/special-interest-group-living-book-open-access-business-models/#Open-Access-APC-BPC

Alternative business models

Experiments are great and welcome

Are they scalable?

Will small publishers be able to do the same?

open
Open Access
Publishing in European Networks

publishers

Life cycle | FAQ | Keywords | Glossary

About OA ∨ | The OA Books landscape ∨ | About the Toolkit ∨ | Support ∨ | Resources ∨

OAPEN

OA Books Toolkit

For authors

This toolkit aims to help book authors to better understand open access book publishing and to increase trust in open access books. You will be able to find relevant articles on open access book publishing following the research lifecycle, by browsing frequently asked questions or by searching with keywords.

Life cycle ▶ | FAQ ▶ | Keywords ▶

The OAPEN Open Access Books Toolkit covers specific topics related to open access books. Each article offers a quick and brief introduction to a particular aspect of open access book publishing. The toolkit also serves as a signposting tool: articles include a list of sources referenced, further reading and links to definitions of key terms.

Planning and funding → Conduct research

Research life cycle

The toolkit makes use of a typical research lifecycle, consisting of the following eight stages. Click on each stage

open
Open Access
Publishing in European Networks

Search the toolkit

Life cycle | FAQ | Keywords | Glossary

About OA ⌄ | The OA Books landscape ⌄ | About the Toolkit ⌄ | Support ⌄ | Resources ⌄

Published 14 december 2021

Types of publisher and publishing services

There are multiple types of publisher which differ in their approaches and operating models but offer broadly similar services. This article details the types of publisher (or presses), the services you should expect and some of the charges you might encounter.

Types of publisher

Type of publisher	About	Examples
Commercial publishers	Commercial publishers are not affiliated to an academic institution. They have a for-profit business model, so money made in excess of operating costs might be paid to shareholders.	Springer Nature, Taylor and Francis, Bloomsbury Publishing, Brill Publishing, Cappelen Damm/NOASP, De Gruyter. Some well-known publishers are owned by, or imprints of, these organisations, e.g., Palgrave Macmillan (Springer Nature), Routledge (Taylor & Francis).
University presses	University presses are attached to a university / universities, and often receive financial support from	Cambridge University Press, Oxford University Press, Manchester University Press, Harvard

LIFE CYCLE

Planning and Funding
Conduct Research
Consider Publishing Options
Write & submit manuscript
Peer review
Book contract and License
Book is published & disseminated
Research is reused

RELATED ARTICLES

Benefits of open access book publishing for early career researchers
Digital and print publication
How to choose a publisher for your open access book
Open access book policy landscape

KEYWORDS

Book processing charge, Library publishing

University presses	University presses are attached to a university / universities, and often receive financial support from them. They might receive academic support, e.g., peer reviewers or editorial boards might be drawn from the university's academic staff. If the press makes money in excess of its operating costs, this might be returned to the university.	Cambridge University Press, Oxford University Press, Manchester University Press, Harvard University Press, Michigan University Press.
New university presses (NUPs)	These are university presses established since the 1990s, often explicitly to publish open access books. In many other respects, they are run like a university press. However, as with library publishing (see below), NUPs are often library-led, albeit with an academic-led steering group or editorial board.	UCL Press, White Rose University Press, Huddersfield University Press, University of Westminster Press, Lever Press, Amherst College Press, Stockholm University Press, ANU Press, UTS ePRESS.
Academic-led presses (ALPs), also known as scholar-led presses	These are presses that are not affiliated to an institution, but are run by academics. They are almost all not-for-profit, meaning any money made in excess of operating costs is reinvested into the running of the press. As with NUPs, many of these presses were founded specifically to publish open access books.	Open Book Publishers, punctum books, Mattering Press, meson press, Open Humanities Press (which together make up the ScholarLed consortium). The Radical Open Access Collective has a useful directory of academic-led presses.
Library publishing	Some academic libraries provide publishing services, commonly producing journals but sometimes including books. They might work in tandem with a university press at the same institution.	The Library Publishing Coalition has a helpful list of libraries that produce books.

Services

Most reputable publishers offer a range of services, typically including:

- Peer review
- Editorial support in developing the manuscript (usually in response to peer review)
- Copy-editing

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Search the toolkit 🔍

Life cycle   FAQ   Keywords   Glossary

🏠   About OA ⌄   The OA Books landscape ⌄   About the Toolkit ⌄   Support ⌄   Resources ⌄

Published 10 februar 2022

Business models for open access book publishing

As open access ebooks are freely available for everyone to read, additional revenue sources are required to help cover the costs of publication. A variety of business models are used to support open access books, including, but not limited to, book processing charges, institutional subsidy, library membership and freemium models.

Publishers use a variety of different business models to support open access book publishing. Some of these models require a fee to be paid by the author's funder or institution, but many do not.

Open access book models[1]

Model	Description	Example publishers / service providers
Book processing charge (BPC) / Open Access fee	A fee is charged by the publisher in order for the ebook to be made open access; usually all ebook formats will be open access. The fee is typically paid by the author's funder or institution.	Bloomsbury, Brill, CUP, De Gruyter, Elsevier, InTechOpen, MDPI, OUP, Springer Nature (incl. Palgrave Macmillan), Stockholm University Press, T&F (incl. Routledge), University of California Press (Luminos)
Freemium	A version of the ebook is made open access or freely	Cambridge University Press, OECD, Open

LIFE CYCLE

Planning and Funding
Conduct Research
Consider Publishing Options
Write & submit manuscript
Peer review
Book contract and License
Book is published & disseminated
Research is reused

KEYWORDS

Book processing charge

Freemium	A version of the ebook is made open access or freely available at no charge to the author; the free access is subsidised by other revenue sources, such as sales of other e-formats, print sales, and/or library membership fees.	Cambridge University Press, OECD, Open Book Publishers, OpenEdition, Open Humanities Press, Punctum Books
Institutional subsidy / New University Presses (NUP)	An institution subsidises publication at an open access press based at or associated with the institution. Fees may not apply or may be discounted; academics based at the institution may receive additional discounts or fee waivers.	Lever Press, UCL Press, University of Huddersfield Press, White Rose Press
Library Membership	Libraries or other institutions pay an annual membership fee to a publisher that underwrites some costs of making books open access; the member institution and/or its authors may receive additional benefits such as discounts on book processing charges (BPCs).	Open Book Publishers, Punctum Books, University of California Press (Luminos)
Library consortium ("Institutional crowdfunding")	Libraries pledge a fee towards making a collection of books open access, covering some or all of the costs between them. Once enough libraries have confirmed participation and the target amount is achieved, the collection is made open access.	Knowledge Unlatched, Transcript
Subscribe to Open	Libraries subscribe to or purchase specified collections of closed-access books, which may include backlist titles. The subscription fees are used to fund open access for newly published books.	Central European University Press, Liverpool University Press, MIT Press, University of Michigan Press
Crowdfunding	Individuals pledge fees to make a book open access; once enough individuals have confirmed participation and the target amount is achieved, the book is made open access.	Unglue.it (typically in collaboration with publishers, e.g., CUP, OBP), self-published authors

What is scholarly book publishing?

Hard work!

Editorial
Production
Distribution

Diversity
Equity
Inclusion

Private photo.

Museum Tusculanum Press
(anno 1975)
bibliodiverse
60 books p.a.
10 journals
23 languages
external peer review
not-for-profit
broken business model

➔ OA publishing ➔ OAPEN

Museum Tusculanum Press

Frankfurt Book Fair, 2005
Private photo
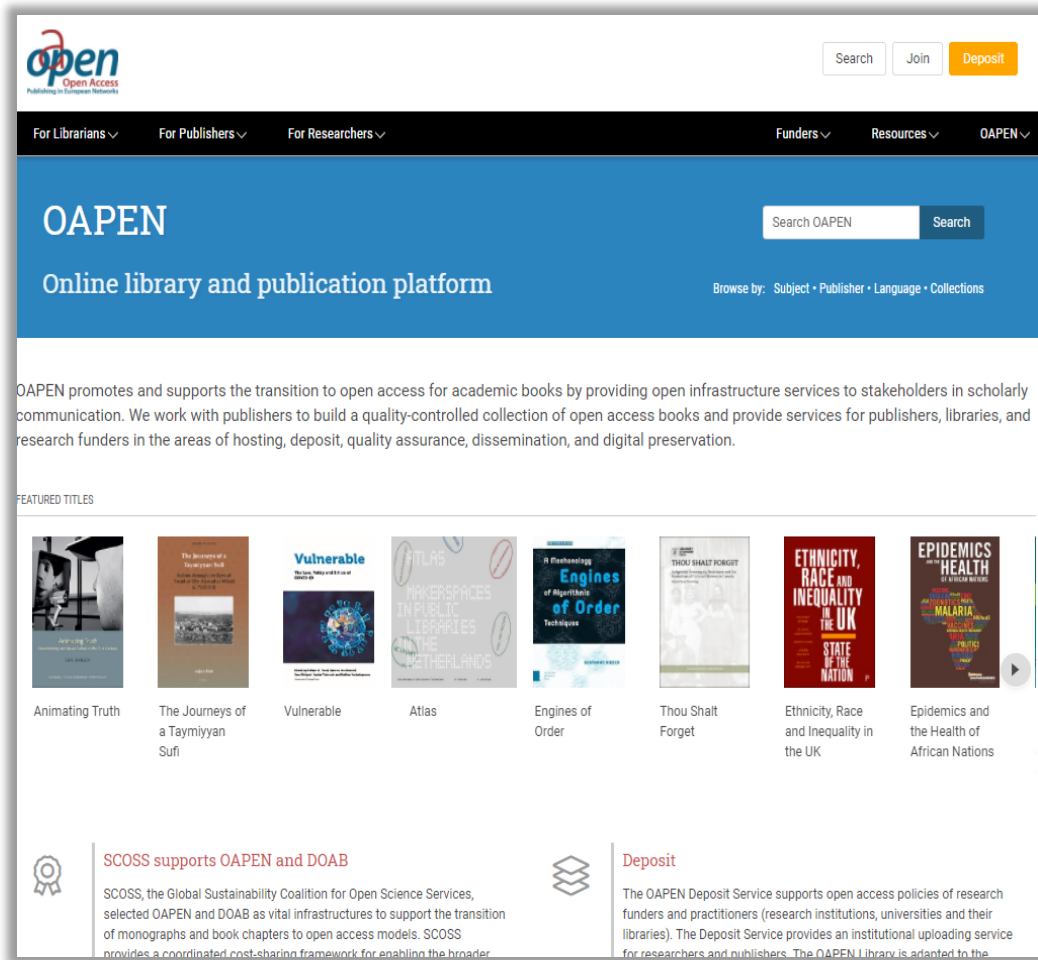
OAPEN Library

Hosting, distribution, and preservation platform for open access books

Launched in 2010

400+ publishers

25,000+ peer reviewed open access books (full text)

DSpace 6.0

Easy integration with library catalogues

✓ Metadata feeds in MARCXML, CSV, RIS, ONIX, KBART
✓ OAI-PMH Harvesting
✓ REST API
✓ RSS and Atom feeds

https://oapen.org

OAPEN: Number of downloads (COUNTER)
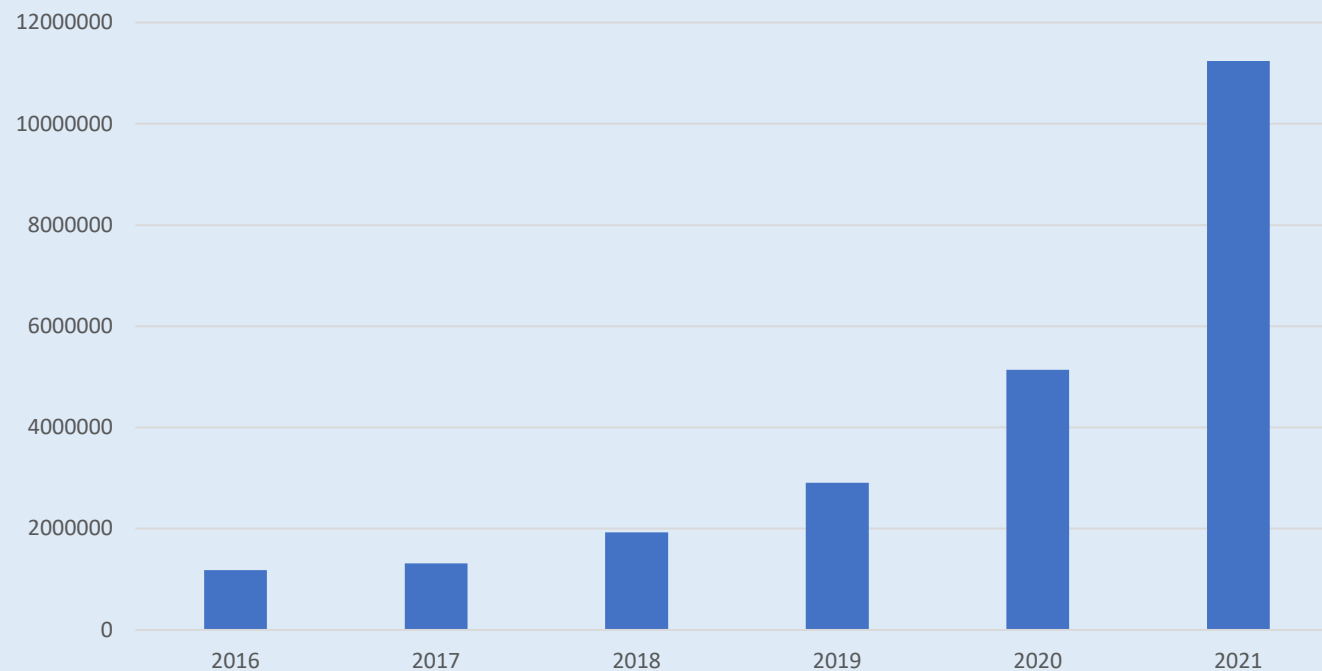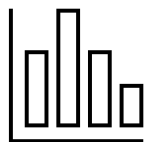
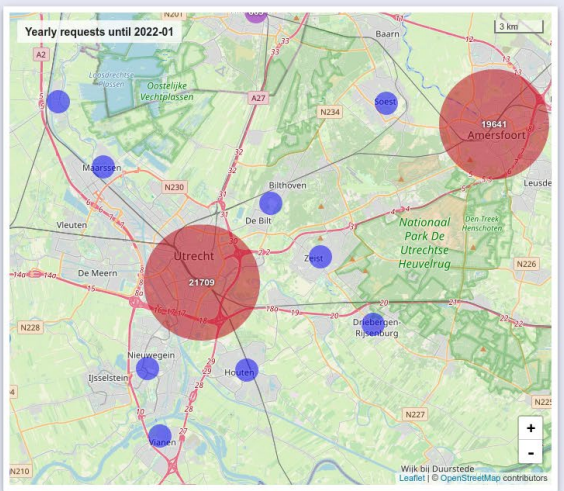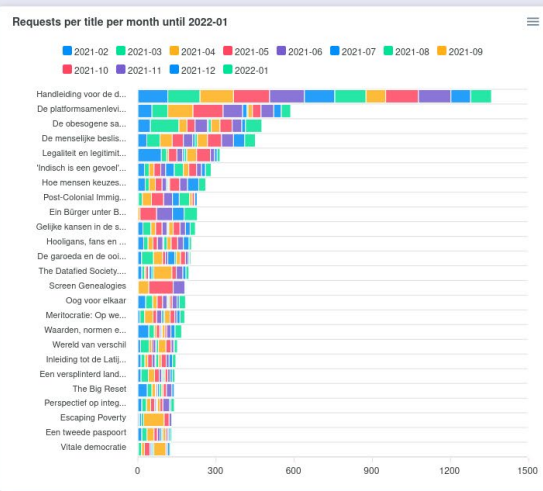# OAPEN usage statistics dashboard for open access books

For libraries, publishers, funders

Left panel

End Month	Funder	Publisher	Item type	Distance	
2022-01	All funders	All publishers	All	20 km	DOWNLOAD

Number of Successful Title Requests per Month and Title for Region (distance 20 km)

Search

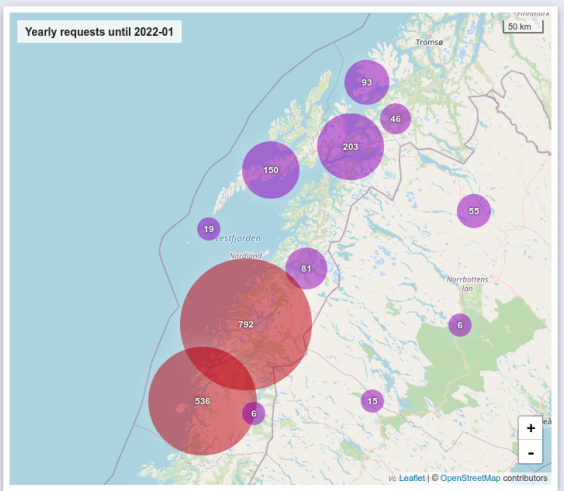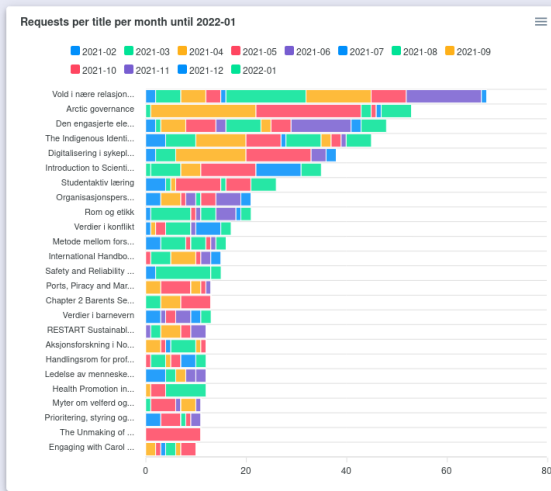| OAPEN link	Isbn	Title	Doi	Publisher	Funding	Doc. type	Year total
20.500.12657/34975	9789089640239	Handleiding voor de determinatie van harde dierlij...	10.5117/9789089640239	Amsterdam University Press		Book	136
20.500.12657/32064	9789462984615	De platformsamenleving: Strijd om publieke waar...	10.5117/9789462984615	Amsterdam University Press		Book	58
20.500.12657/35210	9789053569818	De obesogene samenleving	10.5117/9789053569818	Amsterdam University Press		Book	47
20.500.12657/34982	9789089642028	De menselijke beslisser	10.5117/9789089642028	Amsterdam University Press		Book	45
20.500.12657/39492	9789087282424	Legaliteit en legitimiteit	10.24415/9789087282424	Leiden University Press		Book	316
20.500.12657/31954	9789089641250	'Indisch is een gevoel'. De tweede en derde genera...	10.5117/9789089641250	Amsterdam University Press		Book	282
20.500.12657/34582	9789089643025	Hoe mensen keuzes maken	10.26530/OAPEN_401762	Amsterdam University Press		Book	26
20.500.12657/34466	9789089644541	Post-Colonial Immigrants and Identity Formation...	10.26530/OAPEN_428771	Amsterdam University Press		Book	23
20.500.12657/31372	9783205201441	Ein Bürger unter Bauern?	10.26530/oapen_629711	Böhlau	Austrian Science Fund	Book	229
20.500.12657/25119	9789048550890	Gelijke kansen in de stad	10.5117/9789463728829	Amsterdam University Press		Book	22

Rows per page: 10   1-10 of 1000

Requests per title per month until 2022-01

Legend: 2021-02, 2021-03, 2021-04, 2021-05, 2021-06, 2021-07, 2021-08, 2021-09, 2021-10, 2021-11, 2021-12, 2022-01

Titles: Handleiding voor de d..., De platformsamenlevi..., De obesogene sa..., De menselijke beslis..., Legaliteit en legitim..., 'Indisch is een gevoel'..., Hoe mensen keuzes..., Post-Colonial Immig..., Ein Bürger unter B..., Gelijke kansen in de s..., Hooligans, fans en ..., De garoeda en de ooi..., The Datafied Society..., Screen Genealogies, Oog voor elkaar, Meritocratie: Op we..., Waarden, normen e..., Wereld van verschil, Inleiding tot de Latij..., Een versplinterd land..., The Big Reset, Perspectief op integ..., Escaping Poverty, Een tweede paspoort, Vitale democratie

(axis: 0, 300, 600, 900, 1200, 1500)

Yearly requests until 2022-01

Amersfoort 19641, Utrecht 21709 (map)
Leaflet | © OpenStreetMap contributors

Right panel

End Month	Funder	Publisher	Item type	Distance	
2022-01	All funders	All publishers	All	250 km	DOWNLOAD

Number of Successful Title Requests per Month and Title for Region (distance 250 km)

Search

	Doi	Publisher	Funding	Doc. type	Year total	2021-02	2021-03	2021-04	2021-05
...oner i et mangfoldig Norge	10.23865/noasp.99	Cappelen Damm Akademis...	OsloMet – storbyuniversit...	Book	68	2	5	5	
...e	10.7765/9781526131645	Manchester University Pre...	The Research Council of N...	Book	53	0	1	21	
...eiven	10.23865/noasp.35	Cappelen Damm Akademis...	Nord Universitet	Book	48	2	1	5	
...dentity of the South Saami	10.1007/978-3-030-05029...	Springer Nature		Book	45	4	6	10	
...kepleietjenesten	10.23865/noasp.71	Cappelen Damm Akademis...		Book	38	0	0	0	
...cientific Programming with Pyth...	10.1007/978-3-030-50356...	Springer Nature		Book	35	0	1	0	
...ng	10.23865/noasp.72	Cappelen Damm Akademis...		Book	26	4	1	1	
...rspektiv på samordning av helse...	10.23865/noasp.66	Cappelen Damm Akademis...		Book	21	3	0	4	
	10.23865/noasp.18	Cappelen Damm Akademis...		Book	21	1	8	0	
	10.23865/noasp.95	Cappelen Damm Akademis...		Book	17	1	0	1	

Rows per page: 10   1-10 of 741

Requests per title per month until 2022-01

Legend: 2021-02, 2021-03, 2021-04, 2021-05, 2021-06, 2021-07, 2021-08, 2021-09, 2021-10, 2021-11, 2021-12, 2022-01

Titles: Vold i nære relasjon..., Arctic governance, Den engasjerte ele..., The Indigenous Identi..., Digitalisering i sykepl..., Introduction to Scienti..., Studentaktiv læring, Organisasjonspers..., Rom og etikk, Verdier i konflikt, Metode mellom fors..., International Handbo..., Safety and Reliability ..., Ports, Piracy and Mar..., Chapter 2 Barents Se..., Verdier i barnevern, RESTART Sustainabl..., Aksjonsforskning i No..., Handlingsrom for prof..., Ledelse av menneske..., Health Promotion in..., Myter om velferd og..., Prioritering, styring og..., The Unmaking of ..., Engaging with Carol ...

(axis: 0, 20, 40, 60, 80)

Yearly requests until 2022-01

Map markers: 93, 46, 203, 150, 55, 19, 81, 792, 6, 15, 536, 6
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Universiteitsbibliotheek Utrecht - library

End Month	Funder	Publisher	Item type	
2022-06	All funders	All publishers	All	DOWNLOAD

Number of successful title requests per month and title for library (on site)

Search

OAPEN id	Isbn	Title	Doi	Publisher	Funding	Item type	Year total
20.500.12657/39492	9789087282424	Legaliteit en legitimiteit	10.24415/9789087282424	Leiden University Press		Book	127
20.500.12657/32882	9789087280574	Sharia Incorporated. A Comparative Overview of ...	10.24415/9789087280574	Leiden University Press		Book	79
20.500.12657/31843	9789462981362	The Datafied Society. Studying Culture through D...	10.5117/9789462981362	Amsterdam University Press		Book	46
20.500.12657/32064	9789462984615	De platformsamenleving: Strijd om publieke waa...	10.5117/9789462984615	Amsterdam University Press		Book	44
		National Museums and Nation-building in Europe...		Taylor & Francis		Book	38
20.500.12657/22998	9783319782140	Representations of Transnational Human Traffic...	10.1007/978-3-319-78214-0	Springer Nature		Book	37

0:00 / 2:09

OAPEN Dashboard Demo - For Libraries

open
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Publishing in European Networks

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For Librarians ∨ | For Publishers ∨ | For Researchers ∨ | Funders ∨ | Resources ∨ | OAPEN ∨

ERC - European Research Council

Supporting top researchers from anywhere in the world

ERC - European Research Council

The ERC's mission is to encourage the highest quality research in Europe through competitive funding and to support investigator-driven frontier research across all fields, on the basis of scientific excellence.

erc

European Research Council

ERC - European Research Council

The ERC's mission is to encourage the highest quality research in Europe through competitive funding and to support investigator-driven frontier research across all fields, on the basis of scientific excellence.

ERC requires deposit of OA books in a repository. ERC recommends deposit in the OAPEN Library. OAPEN encourages publishers to deposit books into the OAPEN Library on behalf of authors.

Deposit your ERC publication

ERC open access policy

On October 26th, 2021, OAPEN held a webinar specifically for ERC-funded researchers on how to make their books available open access, ensuring they comply with their funder's mandate. The recording for this webinar can be found here.

Additional materials relevant for ERC-funded researchers such as a flyer, the presentation slides and a short Q&A document can all be publicly accessed here

Read more

- ERC Deposit - introduction
- ERC Deposit Service for publishers

The
Entrepreneurial
Society

Lernwelt
Hochschule

Lived Religion in
the Ancient
Mediterranean
World

Body, Capital, and
Screens:

LexArt Words for
Painting

Religious
Narratives in
Italian Literature
after the Second
Vatican Council
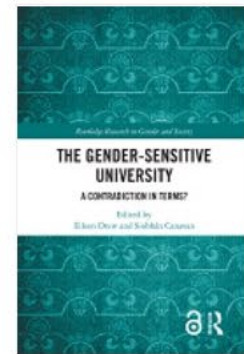
The Gender-
Sensitive
University
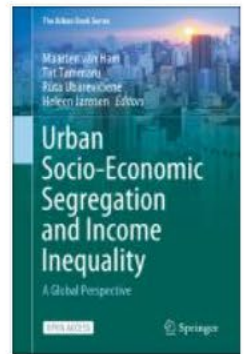
Urban Socio-
Economic
Segregation and
Income Inequality
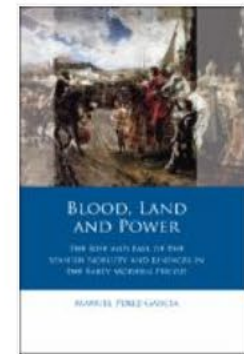
Blood, Land and
Power
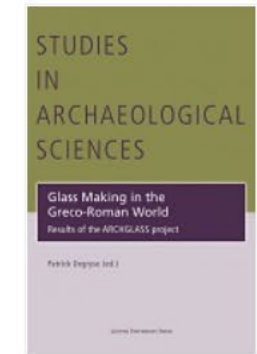
Glass Making in
the Greco-Roman
World: Results of
the ARCHGLASS
project

Progress and
pathology

Accounts and
Accountability in
Late Medieval
Europe

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Search term

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For Librarians ⌄   For Publishers ⌄   For Researchers ⌄   Funders ⌄   Resources ⌄   OAPEN ⌄

Wellcome

Improving health by helping great ideas to thrive

Funder requirements - Wellcome

Wellcome open access requirements: monographs and book chapters

The overview below summarises Wellcome open access requirements only as they relate to monographs and book chapters. It does not include information regarding other research publications such as journal articles.

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FWF - The Austrian Science Fund

FWF
Der Wissenschaftsfonds.

FWF - The Austrian Science Fund

The Austrian Science Fund (German: Fonds zur Förderung der wissenschaftlichen Forschung, FWF) is the most important Austrian funding organization for basic research. The FWF supports research in biomedical sciences, natural sciences, and social sciences and the humanities through a large variety of grant programmes and prizes.

Directory of Open Access Books (DOAB)

Launched in 2013

Global index of peer reviewed open access books

60,000+ OA books indexed

600+ OA book publishers

All metadata is freely available (CC0)

DSpace 6.0

Number of titles in DOAB

Year	Titles
2016	~5500
2017	~9500
2018	~13500
2019	~26500
2020	~35500
2021	~47000

Easy integration with library catalogues

✓ Metadata feeds in MARCXML, CSV, RIS, ONIX, KBART
✓ OAI-PMH Harvesting
✓ REST API
✓ RSS and Atom feeds

https://doabooks.org

Indexing

Hosting

Individual publishers

Other platforms
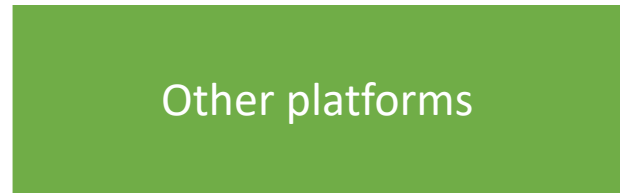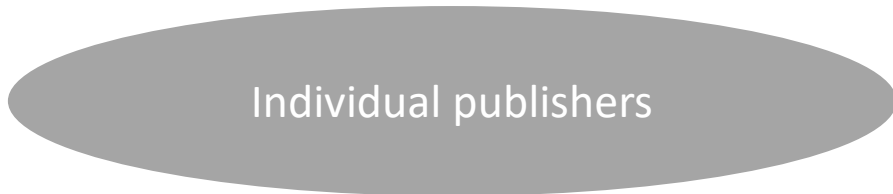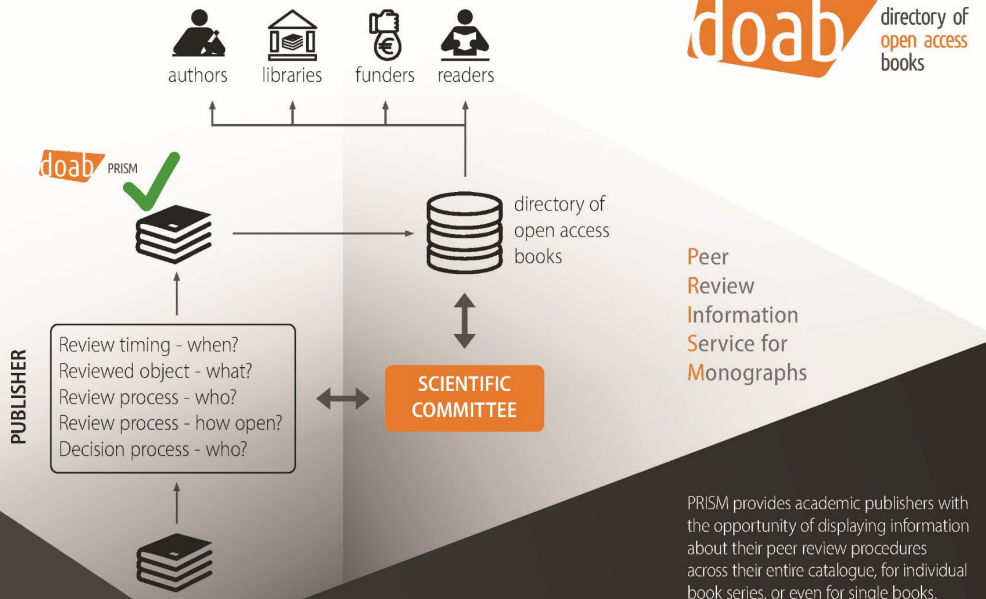
Peer Review Information Service for Monographs (PRISM)

Increasing trust in OA book publishing by

- providing publishers with the opportunity of displaying information about their peer review procedures

- supporting transparency around the quality assurance process of academic book publishing

- Part of the OPERAS Service Catalogue

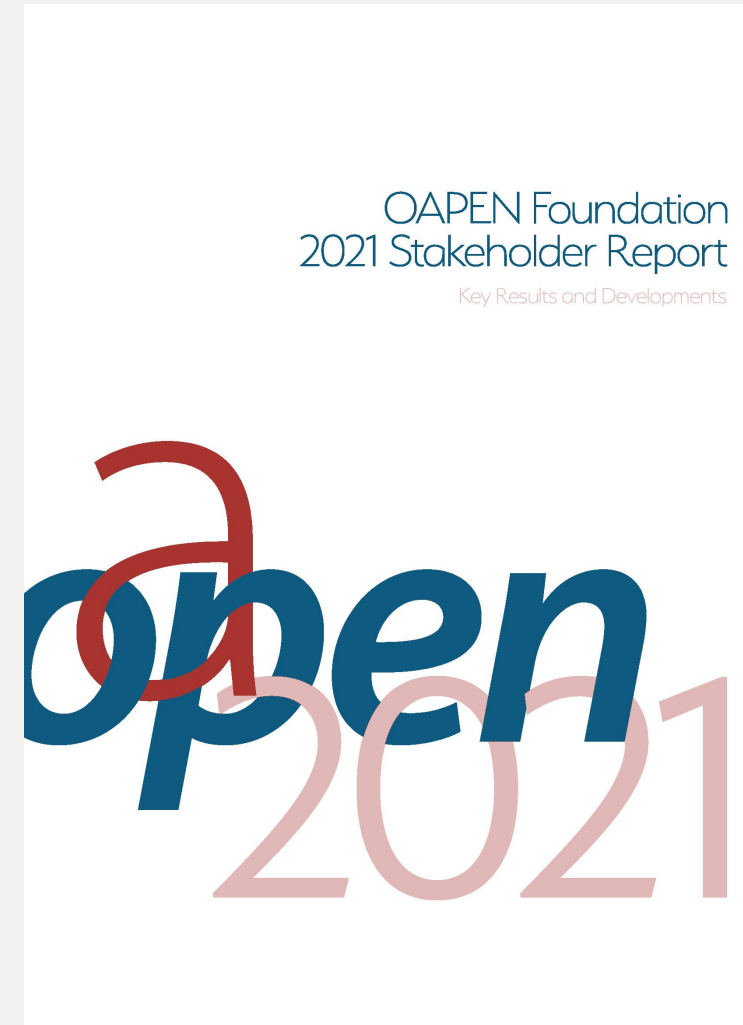- Info: https://doabooks.org/en/librarians/prism
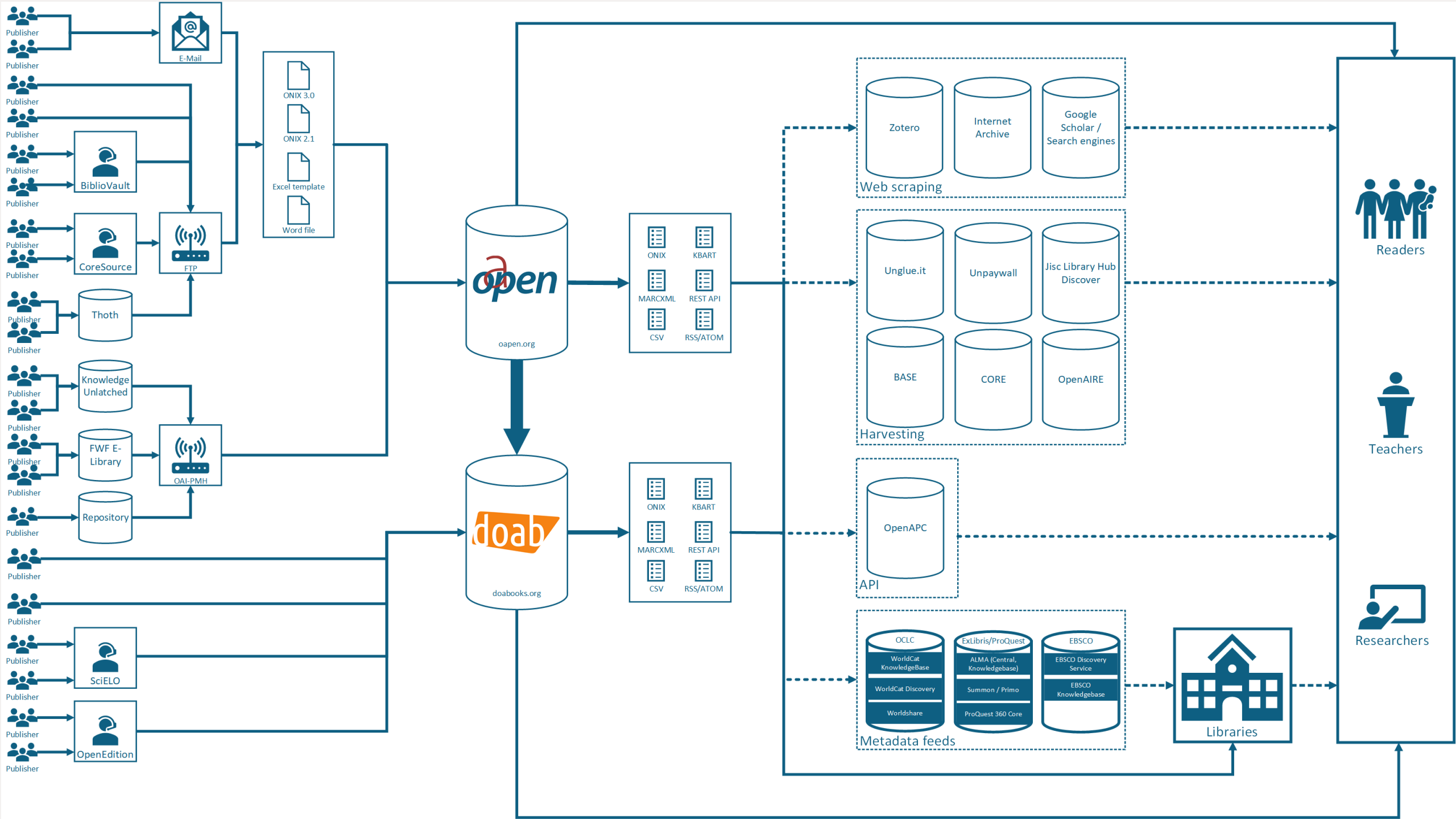
Funding

Dutch not-for-profit 'Stichting'
- cannot be sold or acquired

Revenue (2021):
1. Publisher fees (14%)
2. Funder services (37%)
3. Library support (31%)
4. Projects (18%)

OAPEN Foundation
2021 Stakeholder Report
Key Results and Developments

open
a
2021

Collaborative funding models

Collecting actors in OA book publishing based on membership criteria

Opening a window to the diversity of OA book publishing for libraries and funding institutions

Research institutions

open science strategies

library acquisition budgets

Private photo.

An example

10M EUR
library acquisition budget

UNIVERSITY OF
COPENHAGEN

Research funders

OA book policies

funding OA book publishing

Bring funders and institutions together!

**to discuss challenges and solutions
to keep the OA book
ecosystem in balance**

"We are cautiously optimistic about the prospects for a significant amount of European long-form scholarship to be published as OA, despite well-known obstacles."

Ferwerda, Eelco, Pinter, Frances, & Stern, Niels. (2017). A Landscape Study on Open Access and Monographs: Policies, Funding and Publishing in Eight European Countries. Zenodo. https://doi.org/10.5281/zenodo.815932 (p.8).

〈KE〉 Knowledge Exchange

A landscape study on open access and monographs

Policies, funding and publishing in eight European countries

Publication date October 2017

DFG · CSC · Jisc · cnrs · SURF · Deff

Thank you – we look forward to engaging with you

Niels Stern, Director, stern@oapen.org

Tom Mosterd, Community Manager , t.mosterd@oapen.org

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